

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicant: Sawasaki et al.

Serial No.

Filed: January 28, 2004

For: SUBSTRATE FOR LIQUID
CRYSTAL DISPLAY AND
LIQUID CRYSTAL DISPLAY
UTILIZING THE SAME

Art Unit:

) I hereby certify that this paper is being deposited with the United
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INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
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Dear Sir:

This IDS is submitted under 37 C.F.R. §1.97(b) within either of the following time periods, whichever occurs last:

- (a) within three months of either the filing date of the national application or the date of entry into the national stage; or
- (b) before the mailing date of first office action on the merits (i.e., not including actions such as restriction requirements).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

January 28, 2004

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Respectfully submitted,

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By

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Form PTO-1449 U.S. Department of Commerce (Rev. 8-88) Patent and Trademark Office INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Attorney Docket No.: 1324.69360		Serial No.:	
				Applicant: Sawasaki et al.			
				Filing Date: January 28, 2004		Group:	
U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes No
		06-202153	July 22, 1994	Japan			Abs.
		10-039292	Feb. 2, 1998	Japan			Abs.
		2002-277899	Sept. 25, 2002	Japan			Abs.
		2001-142095	May 25, 2001	Japan			Abs.
		2000-098422	April 7, 2000	Japan			Abs.
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
Examiner				Date Considered			
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							